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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HAMMEN, Richard R., et al.

Serial No.: NOT YET ASSIGNED

Filed: SIMULTANEOUSLY HEREWITH

Title: U-FLOW VENTILATED SEALING HEAD

Docket: 310077.90348

INFORMATION DISCLOSURE STATEMENT

- [X] 1. Pursuant to 37 CFR 1.97(b) [within 3 mos. of filing or prior to 1st Office Action]
- [ ] 2. Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- [ ] 3. Fee Payment Pursuant to 37 CFR 1.97(c) before Final Office Action or Allowance]
- [ ] 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Honorable Commissioner of Patents and Trademarks  
Attn: PCT Branch  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

- [X] 5. A list of documents on form PTO-1449, together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith. (This is the International Search Report issued in connection with International Application PCT/US03/11077.)

This paper is submitted in accordance with:

- [X] 6. 37 CFR 1.97(b): [within 3 mos. of filing or prior to 1st Office Action]
- [ ] 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- [ ] 8. The required certification made in item 11 below; or

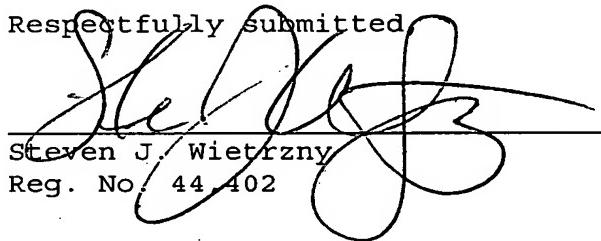
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DT041 and PCT/PTO 12 OCT 2004

- [ ]9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [ ]10. 37 CFR §1.97(d): [before issue fee payment]; and
- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attn.: PETITIONS EXAMINER" and if applicable, include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and
- (b) The required Certification is stated in item 11 below.

[ ]11. Certification

- [ ]12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ]13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X]14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted



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| <b>Form PTO-1449</b><br>(Rev. 2-88)   |   |                 |   | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                  | ATTY. DOCKET NO.<br>310077.90348 | SERIAL NO.<br><i>Unknown</i> |
|---|---|-----------------|---|--|------------------|----------------------------------|------------------------------|
| <b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><i>(Use several sheets if necessary)</i>  |   |                 |   | APPLICANT<br>HAMMEN, et al.                                |                  |                                  |                              |
|   |   |                 |   | FILING DATE<br>11 Oct 2004                                 | GROUP<br>Unknown |                                  |                              |
| U.S. PATENT DOCUMENTS   |   |                 |   |  |                  |                                  |                              |
| * EXAMINER'S INITIAL  |   | DOCUMENT NUMBER | DATE  | NAME   | CLASS            | SUBCLASS                         | FILING DATE IF APPROPRIATE   |
|   | 1 | US2003/0062130  | 4/3/03  | Hammen et al.  | 156              | 380.6                            |                              |
|   | 2 | 6,153,864       | 11/28/00  | Hammen et al.  | 219              | 632                              |                              |
|   | 3 | US2001/0032839  | 10/25/01  | Herzog   | 219              | 633                              |                              |
|   | 4 | US2002/0020144  | 2/21/02   | Sarles et al.  | 53               | 329                              |                              |
| FOREIGN PATENT DOCUMENTS  |   |                 |   |  |                  |                                  |                              |
|   |   | DOCUMENT NUMBER | DATE  | COUNTRY  | CLASS            | SUBCLASS                         | TRANSLATION                  |
|   |   |                 |   |  |                  |                                  | YES                          |
|   |   |                 |   |  |                  |                                  | NO                           |
|   |   |                 |   |  |                  |                                  |                              |
|   |   |                 |   |  |                  |                                  |                              |
|   |   |                 |   |  |                  |                                  |                              |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  |   |                 |   |  |                  |                                  |                              |
|   |   | 1               | International Search Report issued under date of mailing of July 10, 2003 in connection with International Patent Appl. No. PCT/US03/11077. |  |                  |                                  |                              |
| EXAMINER  |   |                 |   | DATE CONSIDERED  |                  |                                  |                              |
| * EXAMINER: Initial if a citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |   |                 |   |  |                  |                                  |                              |